

December 4, 2012

VIA CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Stephen C. Barry, President and Facility Operator Contact  
Kevin Kelley, Operations Manager and Facility Operator Contact  
Holiday Harbor, Inc.  
20061 Shasta Caverns Road  
O'Brien, CA 96070

c/o Stephen C. Barry, Agent for Service of Process  
Holiday Harbor, Inc.  
20061 Shasta Caverns Road  
O'Brien, CA 96070

**Re: Notice of Violations and Intent to File Suit Under the Federal Water  
Pollution Control Act**

Dear Messrs. Barry and Kelley:

I am writing on behalf of the California Sportfishing Protection Alliance ("CSPA") in regard to violations of the Clean Water Act ("the Act") occurring at the Holiday Harbor, Inc. ("Holiday Harbor") facility, located at 20061 Shasta Caverns Road in O'Brien, California ("the Facility"). The WDID identification number for the Facility is 5R45I010771. CSPA is a non-profit public benefit corporation dedicated to the preservation, protection and defense of the environment, wildlife and natural resources of Shasta Lake, the Sacramento River, the Sacramento-San Joaquin River Delta and other California waters. This letter is being sent to you as the responsible owner, officer, or operator of the Facility. Unless otherwise noted, Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley shall hereinafter be collectively referred to as Holiday Harbor.

This letter addresses Holiday Harbor's unlawful discharges of pollutants from the Facility to Shasta Lake, then into the Sacramento River and the Sacramento-San Joaquin Delta. This letter addresses the ongoing violations of the substantive and procedural

requirements of the Clean Water Act and National Pollutant Discharge Elimination System ("NPDES") General Permit No. CAS000001, State Water Resources Control Board Water Quality Order No. 91-13-DWQ, as amended by Order No. 97-03-DWQ ("General Permit" or "General Industrial Storm Water Permit").

Section 505(b) of the Clean Water Act provides that sixty (60) days prior to the initiation of a civil action under Section 505(a) of the Act (33 U.S.C. § 1365(a)), a citizen must give notice of intent to file suit. Notice must be given to the alleged violator, the U.S. Environmental Protection Agency ("the EPA"), and the State in which the violations occur.

As required by the Clean Water Act, this Notice of Violation and Intent to File Suit provides notice of the violations that have occurred, and continue to occur, at the Facility. Consequently, Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley are hereby placed on formal notice by CSPA that, after the expiration of sixty (60) days from the date of this Notice of Violation and Intent to File Suit, CSPA intends to file suit in federal court against Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley under Section 505(a) of the Clean Water Act (33 U.S.C. § 1365(a)), for violations of the Clean Water Act and the General Permit. These violations are described more fully below.

## **I. Background.**

Holiday Harbor owns and operates a marina facility located in O'Brien, California. The Facility falls under Standard Industrial Classification ("SIC") Code 4493 ("Water Transportation"). The Facility is primarily used to handle, store, house, repair and transport boats and boat-related materials. Other activities at the Facility include the use and storage of heavy machinery and motorized vehicles, including trucks used to haul materials to, from and within the Facility.

Holiday Harbor discharges storm water from its approximately 8-acre Facility through at least one (1) discharge point into Shasta Lake, then into the Sacramento River and the Sacramento-San Joaquin Delta ("the Delta"). The Delta and its tributaries are waters of the United States within the meaning of the Clean Water Act.

The Central Valley Regional Water Quality Control Board ("Regional Board" or "Board") has established water quality standards for Shasta Lake, the Sacramento River and the Delta in the "Water Quality Control Plan for the Sacramento River and San Joaquin River Basins," generally referred to as the Basin Plan. The Basin Plan includes a narrative toxicity standard which states that "[a]ll waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life." For the Delta, the Basin Plan establishes standards for several metals, including (at a hardness of 40 mg/L): arsenic – 0.01 mg/L; copper – 0.01 mg/L; iron – 0.3 mg/L; and zinc – 0.1 mg/L. *Id.* at III-3.00, Table III-1. The Basin Plan states that "[a]t a minimum, water designated for use as domestic or municipal supply (MUN) shall not contain lead in excess of 0.015 mg/L." *Id.* at III-3.00. The Basin Plan



also provides that “[t]he pH shall not be depressed below 6.5 nor raised above 8.5.” *Id.* at III-6.00. The Basin Plan also prohibits the discharges of oil and grease, stating that “[w]aters shall not contain oils, greases, waxes, or other materials in concentrations that cause nuisance, result in a visible film or coating on the surface of the water or on objects in the water, or otherwise adversely affect beneficial uses.” *Id.* at III-5.00.

The Basin Plan also provides that “[a]t a minimum, water designated for use as domestic or municipal supply (MUN) shall not contain concentrations of chemical constituents in excess of the maximum contaminant levels (MCLs).” *Id.* at III-3.0. The Basin Plan designates Shasta Lake for use as domestic or municipal supply. The EPA has issued a recommended water quality criterion for aluminum for freshwater aquatic life protection of 0.087 mg/L. EPA has established a secondary MCL, consumer acceptance limit for aluminum of 0.05 mg/L to 0.2 mg/L. EPA has established a secondary MCL, consumer acceptance limit for zinc of 5.0 mg/L. EPA has established a primary MCL, consumer acceptance limit for the following: chromium – 0.1 mg/L; copper – 1.3 mg/L; and lead – 0.0 (zero) mg/L. *See* <http://www.epa.gov/safewater/mcl.html>. The California Department of Health Services has also established the following MCL, consumer acceptance levels: aluminum – 1 mg/L (primary) and 0.2 mg/L (secondary); chromium – 0.5 mg/L (primary); copper – 1.0 mg/L (secondary); iron – 0.3 mg/L; and zinc – 5.0 mg/L. *See* California Code of Regulations, title 22, §§ 64431, 64449.

EPA has also issued numeric receiving water limits for certain toxic pollutants in California surface waters, commonly known as the California Toxics Rule (“CTR”). 40 CFR § 131.38. The CTR establishes the following numeric limits for freshwater surface waters: arsenic – 0.34 mg/L (maximum concentration) and 0.150 mg/L (continuous concentration); chromium (III) – 0.550 mg/L (maximum concentration) and 0.180 mg/L (continuous concentration); copper – 0.013 mg/L (maximum concentration) and 0.009 mg/L (continuous concentration); lead – 0.065 mg/L (maximum concentration) and 0.0025 mg/L (continuous concentration).

The Regional Board has also identified waters of the Delta as failing to meet water quality standards for unknown toxicity, electrical conductivity, numerous pesticides and mercury. The Regional Board has also identified Shasta Lake, as failing to meet water quality standards for mercury. *See* <http://www.swrcb.ca.gov/tmdl/docs/2010reg5303dlist.pdf>. Discharges of listed pollutants into an impaired surface water may be deemed a “contribution” to the exceedance of CTR, a water quality standard, and may indicate a failure on the part of a discharger to implement adequate storm water pollution control measures. *See Waterkeepers Northern Cal. v. Ag Indus. Mfg., Inc.*, 375 F.3d 913, 918 (9th Cir. 2004); *see also Waterkeepers Northern Cal. v. Ag Indus. Mfg., Inc.*, 2005 WL 2001037 at \*3, 5 (E.D. Cal., Aug. 19, 2005) (finding that a discharger covered by the General Industrial Storm Water Permit was “subject to effluent limitation as to certain pollutants, including zinc, lead, copper, aluminum and lead” under the CTR).



The General Permit incorporates benchmark levels established by EPA as guidelines for determining whether a facility discharging industrial storm water has implemented the requisite best available technology economically achievable ("BAT") and best conventional pollutant control technology ("BCT"). The following benchmarks have been established for pollutants discharged by Holiday Harbor: pH – 6.0 to 9.0 s.u.; oil and grease – 15 mg/L; total suspended solids – 100.0 mg/L; aluminum – 0.75 mg/L; iron – 1.0 mg/L; lead – 0.0826 mg/L; and zinc – 0.117 mg/L. The State Water Quality Control Board has also proposed adding a benchmark level for specific conductance, 200 µmhos/cm. Additional EPA benchmark levels have been established for other parameters that CSPA believes are being discharged from the Facility, including but not limited to, chemical oxygen demand – 120 mg/L; arsenic – 0.16854 mg/L; magnesium – 0.0636 mg/L; manganese – 1.0 mg/L; and mercury – 0.0024 mg/L.

## **II. Holiday Harbor Is Violating the Act by Discharging Pollutants From the Facility to Waters of the United States.**

Under the Act, it is unlawful to discharge pollutants from a "point source" to navigable waters without obtaining and complying with a permit governing the quantity and quality of discharges. *Trustees for Alaska v. EPA*, 749 F.2d 549, 553 (9th Cir. 1984). Section 301(a) of the Clean Water Act prohibits "the discharge of any pollutants by any person . . ." except as in compliance with, among other sections of the Act, Section 402, the NPDES permitting requirements. 33 U.S.C. § 1311(a). The duty to apply for a permit extends to "[a]ny person who discharges or proposes to discharge pollutants. . . ." 40 C.F.R. § 122.30(a).

The term "discharge of pollutants" means "any addition of any pollutant to navigable waters from any point source." 33 U.S.C. § 1362(12). Pollutants are defined to include, among other examples, a variety of metals, chemical wastes, biological materials, heat, rock, and sand discharged into water. 33 U.S.C. § 1362(6). A point source is defined as "any discernable, confined and discrete conveyance, including but not limited to any pipe, ditch, channel, tunnel, [or] conduit . . . from which pollutants are or may be discharged." 33 U.S.C. § 1362(14). An industrial facility that discharges pollutants into a navigable water is subject to regulation as a "point source" under the Clean Water Act. *Comm. to Save Mokelumne River v. East Bay Mun. Util. Dist.*, 13 F.3d 305, 308 (9th Cir. 1993). "Navigable waters" means "the waters of the United States." 33 U.S.C. § 1362(7). Navigable waters under the Act include man-made waterbodies and any tributaries or waters adjacent to other waters of the United States. See *Headwaters, Inc. v Talent Irrigation Dist.*, 243 F.3d 526, 533 (9th Cir. 2001).

The Shasta Lake, Sacramento River and the Delta and its tributaries are waters of the United States. Accordingly, Holiday Harbor's discharges of storm water containing pollutants from the Facility are discharges to waters of the United States.

CSPA is informed and believes, and thereupon alleges, that Holiday Harbor has discharged and is discharging pollutants from the Facility to waters of the United States



every day that there has been or will be any measurable flow of water from the Facility since December 4, 2007. Each discharge on each separate day is a separate violation of Section 301(a) of the Act, 33 U.S.C. § 1311(a). These unlawful discharges are ongoing. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Holiday Harbor is subject to penalties for violations of the Act since December 4, 2007.

### **III. Pollutant Discharges in Violation of the NPDES Permit.**

Holiday Harbor has violated and continues to violate the terms and conditions of the General Permit. Section 402(p) of the Act prohibits the discharge of storm water associated with industrial activities, except as permitted under an NPDES permit such as the General Permit. 33 U.S.C. § 1342. The General Permit prohibits any discharges of storm water associated with industrial activities that have not been subjected to BAT or BCT. Effluent Limitation B(3) of the General Permit requires dischargers to reduce or prevent pollutants in their storm water discharges through implementation of BAT for toxic and nonconventional pollutants and BCT for conventional pollutants. BAT and BCT include both nonstructural and structural measures. General Permit, Section A(8). Conventional pollutants are TSS, Oil & Grease ("O&G"), pH, biochemical oxygen demand ("BOD"), and fecal coliform. 40 C.F.R. § 401.16. All other pollutants are either toxic or nonconventional. *Id.*; 40 C.F.R. § 401.15.

Further, Discharge Prohibition A(1) of the General Permit provides: "Except as allowed in Special Conditions (D.1.) of this General Permit, materials other than storm water (non-storm water discharges) that discharge either directly or indirectly to waters of the United States are prohibited. Prohibited non-storm water discharges must be either eliminated or permitted by a separate NPDES permit." Special Conditions D(1) of the General Permit sets forth the conditions that must be met for any discharge of non-storm water to constitute an authorized non-storm water discharge.

Receiving Water Limitation C(1) of the General Permit prohibits storm water discharges and authorized non-storm water discharges to surface or groundwater that adversely impact human health or the environment. Receiving Water Limitation C(2) of the General Permit also prohibits storm water discharges and authorized non-storm water discharges that cause or contribute to an exceedance of any applicable water quality standards contained in a Statewide Water Quality Control Plan or the applicable Regional Board's Basin Plan.

In October 29, 2010, the Regional Board, Region 5, sent Holiday Harbor a letter ("the October 2010 letter") conveying its conclusion that, among other things, Holiday Harbor's 2009-2010 Annual Report contained evidence that the BMPs then in effect at the Facility were not sufficient to reduce pollutant concentrations below EPA benchmark levels. The October 2010 letter informed Holiday Harbor that its 2009-2010 Annual Report indicated storm water samples in excess of US EPA benchmark values for certain parameters. Based on this evidence, the Regional Board ordered Holiday Harbor to: (1)

Review previously submitted Annual Reports and identify the number of consecutive years that the Facility has exceeded benchmark levels; (2) Identify sources of pollutants at the Facility that contributed to the exceedances; (3) Review current BMPs; (4) Modify existing BMPs or implement additional BMPs to reduce or eliminate discharge of pollutants; and (5) Modify the SWPPP and Monitoring Plan for the Facility and maintain a copy of these required documents at the Facility.

As recently as July 12, 2011, the Regional Board, Region 5, sent Holiday Harbor a letter ("the July 2011 letter") conveying its conclusions from an inspection at the Facility on May 4, 2011. The July 2011 letter conveyed that, among other things, the Facility contained evidence that the BMPs then in effect at the Facility were not sufficient to reduce pollutant concentrations below EPA benchmark levels. Based on this evidence, the Regional Board ordered Holiday Harbor "to take appropriate action to correct all of the issues" listed in the report: (1) The SWPPP site map did not clearly identify all points of storm water discharge; (2) The Monitoring Program did not include sample locations for all drainage areas that represent the quality and quantity of storm water discharges; (3) Adequate Secondary Containment BMPs were not implemented for waste oil drums; (4) Adequate Good Housekeeping and Overhead Coverage BMPs were not implemented in the area behind the maintenance building; (5) Additional BMPs had not been implemented and/or the SWPPP amended in response to sampling results exceeding the 2000 EPA Multi-Sector General Permit Benchmark Values; and (6) Adequate containment BMPs were not implemented to eliminate non-storm water discharges from pressure washing operations.

Based on its review of available public documents, CSPA is informed and believes: (1) that Holiday Harbor continues to discharge these very same pollutants in excess of benchmarks and (2) that Holiday Harbor has failed to implement BMPs adequate to bring its discharge of these and other pollutants in compliance with the General Permit. Holiday Harbor's ongoing violations are discussed further below.

**A. Holiday Harbor Has Discharged Storm Water Containing Pollutants in Violation of the Permit.**

Holiday Harbor has discharged and continues to discharge storm water with unacceptable levels of Total Suspended Solids (TSS), Aluminum (Al), Iron (Fe), and Zinc (Zn) in violation of the General Permit. These high pollutant levels have been documented during significant rain events, including the rain events indicated in the table of rain data attached hereto as Attachment A. Holiday Harbor's Annual Reports and Sampling and Analysis Results confirm discharges of materials other than storm water and specific pollutants in violation of the Permit provisions listed above. Self-monitoring reports under the Permit are deemed "conclusive evidence of an exceedance of a permit limitation." *Sierra Club v. Union Oil*, 813 F.2d 1480, 1493 (9th Cir. 1988).



The following discharges of pollutants from the Facility have violated Discharge Prohibitions A(1) and A(2) and Receiving Water Limitations C(1) and C(2) of the General Industrial Storm Water Permit:

**1. Discharge of Storm Water Containing Total Suspended Solids (TSS) at Concentration in Excess of Applicable EPA Benchmark Value.**

<b>Date</b>	<b>Parameter</b>	<b>Concentration in Discharge</b>	<b>Benchmark Value</b>
2/14/2011	TSS	216 mg/L	100 mg/L
3/29/2010	TSS	150 mg/L	100 mg/L

**2. Discharge of Storm Water Containing Aluminum (Al) at Concentration in Excess of Applicable EPA Benchmark Value.**

<b>Date</b>	<b>Parameter</b>	<b>Concentration in Discharge</b>	<b>Benchmark Value</b>
1/19/2012	Al	0.761 mg/L	0.75 mg/L
10/10/2011	Al	3.67 mg/L	0.75 mg/L
2/14/2011	Al	9.72 mg/L	0.75 mg/L
12/02/2010	Al	6.52 mg/L	0.75 mg/L
3/29/2010	Al	9.97 mg/L	0.75 mg/L
10/13/2009	Al	2.33 mg/L	0.75 mg/L
2/21/2008	Al	7.83 mg/L	0.75 mg/L
10/10/2007	Al	4.11 mg/L	0.75 mg/L

**3. Discharge of Storm Water Containing Iron (Fe) at Concentration in Excess of Applicable EPA Benchmark Value.**

<b>Date</b>	<b>Parameter</b>	<b>Concentration in Discharge</b>	<b>Benchmark Value</b>
1/19/2012	Fe	1.04 mg/L	1.0 mg/L
10/10/2011	Fe	4.17 mg/L	1.0 mg/L
2/14/2011	Fe	13.2 mg/L	1.0 mg/L
12/02/2010	Fe	5.86 mg/L	1.0 mg/L
3/29/2010	Fe	10.2 mg/L	1.0 mg/L
10/13/2009	Fe	2.2 mg/L	1.0 mg/L
2/21/2008	Fe	6.48 mg/L	1.0 mg/L
10/10/2007	Fe	3.48 mg/L	1.0 mg/L

**4. Discharge of Storm Water Containing Zinc (Zn) at Concentration in Excess of Applicable EPA Benchmark Value.**

<b>Date</b>	<b>Parameter</b>	<b>Concentration in Discharge</b>	<b>Benchmark Value</b>
2/14/2011	Zn	0.217 mg/L	0.117 mg/L
12/02/2010	Zn	0.133 mg/L	0.117 mg/L
3/29/2010	Zn	0.228 mg/L	0.117 mg/L
2/21/2008	Zn	0.177 mg/L	0.117 mg/L

CSPA's investigation, including its review of Holiday Harbor's analytical results documenting pollutant levels in the Facility's storm water discharges well in excess of EPA's benchmark values for Total Suspended Solids, Aluminum, Iron, and Zinc indicates that Holiday Harbor has not implemented BAT and BCT at the Facility for its discharges of Total Suspended Solids, Aluminum, Iron, and Zinc and other pollutants, in



violation of Effluent Limitation B(3) of the General Permit. Holiday Harbor was required to have implemented BAT and BCT by no later than October 1, 1992 or the start of its operations. Thus, Holiday Harbor is discharging polluted storm water associated with its industrial operations without having implemented BAT and BCT.

CSPA is informed and believes that Holiday Harbor has known that its storm water contains pollutants at levels exceeding EPA Benchmarks and other water quality criteria since at least December 4, 2007. CSPA alleges that such violations also have occurred and will occur on other rain dates, including during every single significant rain event that has occurred since December 4, 2007, and that will occur at the Facility subsequent to the date of this Notice of Violation and Intent to File Suit. Attachment A, attached hereto, sets forth each of the specific rain dates on which CSPA alleges that Holiday Harbor has discharged storm water containing impermissible levels of Total Suspended Solids, Aluminum, Iron, and Zinc and other unmonitored pollutants (e.g. chemical oxygen demand and mercury) in violation of Discharge Prohibitions A(1) and A(2) and Receiving Water Limitations C(1) and C(2) of the General Permit.

These unlawful discharges from the Facility are ongoing. Each discharge of storm water containing any pollutants from the Facility without the implementation of BAT/BCT constitutes a separate violation of the General Permit and the Act. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Holiday Harbor is subject to penalties for violations of the General Permit and the Act since December 4, 2007.

**B. Holiday Harbor Has Failed to Implement an Adequate Monitoring & Reporting Plan.**

Section B of the General Industrial Storm Water Permit requires that dischargers develop and implement an adequate Monitoring and Reporting Plan by no later than October 1, 1992 or the start of operations. Sections B(3), B(4) and B(7) require that dischargers conduct regularly scheduled visual observations of non-storm water and storm water discharges from the Facility and to record and report such observations to the Regional Board. Section B(5)(a) of the General Permit requires that dischargers "shall collect storm water samples during the first hour of discharge from (1) the first storm event of the wet season, and (2) at least one other storm event in the wet season. All storm water discharge locations shall be sampled." Section B(5)(c)(i) further requires that the samples shall be analyzed for total suspended solids, pH, specific conductance, and total organic carbon. Oil and grease may be substituted for total organic carbon. Section B(5)(c)(ii) of the General Permit further requires dischargers to analyze samples for all "[t]oxic chemicals and other pollutants that are likely to be present in storm water discharges in significant quantities." Section B(10) of the General Permit provides that "facility operators shall explain how the facility's monitoring program will satisfy the monitoring program objectives of [General Permit] Section B.2."



Based on its investigation, CSPA is informed and believes that Holiday Harbor has failed to develop and implement an adequate Monitoring & Reporting Plan. First, based on its review of publicly available documents, CSPA is informed and believes that Holiday Harbor has failed to collect storm water samples during at least two qualifying storms events, as defined by the General Permit, during the past five Wet Seasons. Second, based on its review of publicly available documents, CSPA is informed and believes that Holiday Harbor has failed to conduct the monthly visual monitoring of storm water discharges and the quarterly visual observations of unauthorized non-storm water discharges required under the General Permit during the past five Wet Seasons. Third, based on its review of publicly available documents, CSPA is informed and believes that for the past five Wet Seasons, Holiday Harbor has failed to analyze samples for other pollutants that are likely to be present in significant quantities in the storm water discharged from the Facility. Fourth and finally, based on its review of publicly available documents, CSPA is informed and believes that Holiday Harbor has failed to collect storm water samples from the first storm of the Wet Season that produced a discharge during scheduled Facility operating hours each of the past five years. Each of these failures constitutes a separate and ongoing violation of the General Permit and the Act. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Holiday Harbor is subject to penalties for violations of the General Industrial Storm Water Permit and the Act since December 4, 2007. These violations are set forth in greater detail below:

**1. Holiday Harbor Has Failed to Collect Storm Water Samples During at Least Two Rain Events In Each of the Last Five Wet Seasons.**

Based on its review of publicly available documents, CSPA is informed and believes that Holiday Harbor has failed to collect storm water samples from all discharge points during at least two qualifying rain events at the Facility during each of the past five years, as required by the General Permit. For example, CSPA notes that the Annual Report filed by Holiday Harbor for the Facility for the 2007-2008 Wet Season Holiday Harbor failed to sample two qualifying storm events.

Holiday Harbor reported in at least four of the last five Wet Seasons that it sampled in the last five years (i.e., 2007-2008; 2008-2009; 2009-2010; 2010-2011; and 2011-2012 Wet Seasons), that the Facility sampled the first storm of the season, when in fact it did not sample the first storm of the season during at least three of the last five Wet Seasons. For example, Holiday Harbor reported in its 2010-2011 Annual Report that it sampled the first storm of the Wet Season, but Holiday Harbor's first sample is from December 2, 2010. Based upon its review of publicly available rainfall data, CSPA is informed and believes that the first storm of the 2010-2011 Wet Season occurred as early as Thursday, October 28, 2010, when 0.80" of rain fell on the Facility. This failure to adequately monitor storm water discharges constitutes separate and ongoing violations of the General Permit and the Act.



**2. Holiday Harbor Has Failed to Collect Storm Water Samples from Each Discharge Point During at Least Two Rain Events In Each of the Last Five Wet Seasons.**

Based on its review of publicly available documents, CSPA is informed and believes that Holiday Harbor has failed to collect storm water samples from all discharge points during at least two qualifying rain events at the Facility during at least four of the past five Wet Seasons. Based on its investigation, CSPA is informed and believes that storm water discharges from the Facility at points other than the one sampling/discharge point currently designated by Holiday Harbor. This failure to adequately monitor storm water discharges constitutes separate and ongoing violations of the General Permit and the Act.

**3. Holiday Harbor Has Failed to Conduct the Monthly Wet Season Observations of Storm Water Discharges Required by the General Permit.**

The General Permit requires dischargers to “visually observe storm water discharges from one storm event per month during the Wet Season (October 1 – May 30).” General Permit, Section B(4)(a). As evidenced by the observation of qualified storm events on Form 4 Monthly Visual Observations contained in Holiday Harbor’s annual reports over the last five Wet Seasons, CSPA is informed and believes that Holiday Harbor has failed to properly conduct this requirement of the General Permit.

Specifically, Holiday Harbor failed to conduct monthly visual observations of discharges from qualifying storm events for many months over the past five Wet Seasons. Instead, Holiday Harbor has either documented its visual observations of storm water that discharged during non-qualifying storm events or asserted that a qualifying storm never occurred at the Facility for many months during the entire Wet Season of at least four of the past five years (discussed further below). However, based on publicly available rainfall data, CSPA is informed and believes that there were many qualifying storm events during each of these Wet Seasons that Holiday Harbor could have observed. For example, Holiday Harbor reported in its 2011-2012 Annual Report that there were no discharges during business hours during the month of April, 2012, when in fact, there was a storm event on Monday April 11th, during which 0.92 inches of rain fell on the Facility. Further, Holiday Harbor reported in its 2010-2011 Annual Report that there were no discharges during the month of April 2011, when in fact, it rained 1.24” at the Facility on Wednesday, April 20, 2011. Holiday Harbor’s failure to conduct this required monthly Wet Season visual monitoring extends back to at least December 4, 2007. Holiday Harbor’s failure to conduct this required monthly Wet Season visual monitoring has caused and continues to cause multiple, separate and ongoing violations of the General Permit and the Act.

**4. Holiday Harbor Is Subject to Penalties for Its Failure to Implement an Adequate Monitoring & Reporting Plan Since December 4, 2007.**

CSPA is informed and believes that publicly available documents demonstrate Holiday Harbor's consistent and ongoing failure to implement an adequate Monitoring Reporting Plan in violation of Section B of the General Permit. For example, while in its 2007-2008 Annual Report Holiday Harbor reported having collected samples of storm water discharged during two qualifying storm events. Based on publicly available rainfall data, CSPA is informed and believes that the storm event on October 10, 2007 could not possibly be a qualifying storm event because a qualifying storm event fell on the Facility one day before, on October 9, 2007, during which 0.44" of rain fell on the Facility.

Additionally, Holiday Harbor is in violation of the General Permit's requirement that the testing method employed in laboratory analyses of pollutant concentrations present in storm water discharged from the Facility be "adequate to satisfy the objectives of the monitoring program." General Permit Section B.10.a.iii. The Regional Board has determined appropriate tests and detection limits that should be applied when testing for pollutant parameters.

The General Permit requires permit holders to sample for pH, Total Suspended Solids, Total Organic Carbon or Oil & Grease, and Specific Conductance. However, as demonstrated by Holiday Harbor's annual reports filed in at least four of the last five Wet Seasons (i.e., 2007-2008; 2008-2009; 2009-2010; 2010-2011; and 2011-2012 Wet Seasons), the laboratory employed by Holiday Harbor to analyze the storm water sample collected applied incorrect testing methods such as inappropriately high detection limits. For example, for Total Suspended Solids, Holiday Harbor used an inappropriately high detection limit of 6.0 mg/L, instead of the appropriate detection level of 1.0 mg/L. In fact, Holiday Harbor used inappropriate testing analysis for Total Suspended Solids, Oil and Grease, Aluminum, Iron, Zinc, Lead, and Specific Conductance in at least four of the last five Annual Reports. In the Annual Reports filed for the Wet Seasons 2009-2010 and 2007-2008, the samples for Lead and Oil & Grease were below the reporting limit and therefore the lab reported an estimated concentration and did not provide a specific value for these parameters.

Holiday Harbor is in violation of the General Permit for failing to employ laboratory test methods and detection limits that are adequate to, among other things, "ensure that storm water discharges are in compliance with the Discharge Prohibitions, Effluent Limitations, and Receiving Water Limitations specified in this General Permit." General Permit Section B.2.a. ("Monitoring Program Objectives"). Accordingly, consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Holiday Harbor is subject to penalties for these violations of the General Permit and the Act since December 4, 2007.



**C. Holiday Harbor Has Failed to Implement BAT and BCT.**

Effluent Limitation B(3) of the General Permit requires dischargers to reduce or prevent pollutants in their storm water discharges through implementation of BAT for toxic and nonconventional pollutants and BCT for conventional pollutants. BAT and BCT include both nonstructural and structural measures. General Permit, Section A(8). CSPA's investigation indicates that Holiday Harbor has not implemented BAT and BCT at the Facility for its discharges of pH, Oil & Grease (O&G), Total Suspended Solids (TSS), and Specific Conductance (SC) and other unmonitored pollutants in violation of Effluent Limitation B(3) of the General Permit.

To meet the BAT/BCT requirement of the General Permit, Holiday Harbor must evaluate all pollutant sources at the Facility and implement the best structural and non-structural management practices economically achievable to reduce or prevent the discharge of pollutants from the Facility. Based on the limited information available regarding the internal structure of the Facility, CSPA believes that at a minimum Holiday Harbor must improve its housekeeping practices, store materials that act as pollutant sources under cover or in contained areas, treat storm water to reduce pollutants before discharge (e.g., with filters or treatment boxes), and/or prevent storm water discharge altogether. Holiday Harbor has failed to adequately implement such measures.

Holiday Harbor was required to have implemented BAT and BCT by no later than October 1, 1992. Therefore, Holiday Harbor has been in continuous violation of the BAT and BCT requirements every day since October 1, 1992, and will continue to be in violation every day that it fails to implement BAT and BCT. Holiday Harbor is subject to penalties for violations of the General Permit and the Act occurring since December 4, 2007.

**D. Holiday Harbor Has Failed to Develop and Implement an Adequate Storm Water Pollution Prevention Plan.**

Section A(1) and Provision E(2) of the General Permit require dischargers of storm water associated with industrial activity to develop, implement, and update an adequate storm water pollution prevention plan ("SWPPP") no later than October 1, 1992. Section A(1) and Provision E(2) requires dischargers who submitted an NOI pursuant to Water Quality Order No. 97-03-DWQ to continue following their existing SWPPP and implement any necessary revisions to their SWPPP in a timely manner, but in any case, no later than August 9, 1997.

The SWPPP must, among other requirements, identify and evaluate sources of pollutants associated with industrial activities that may affect the quality of storm and non-storm water discharges from the facility and identify and implement site-specific best management practices ("BMPs") to reduce or prevent pollutants associated with industrial activities in storm water and authorized non-storm water discharges (General Permit, Section A(2)). The SWPPP must also include BMPs that achieve BAT and BCT

(Effluent Limitation B(3)). The SWPPP must include: a description of individuals and their responsibilities for developing and implementing the SWPPP (General Permit, Section A(3)); a site map showing the facility boundaries, storm water drainage areas with flow pattern and nearby water bodies, the location of the storm water collection, conveyance and discharge system, structural control measures, impervious areas, areas of actual and potential pollutant contact, and areas of industrial activity (General Permit, Section A(4)); a list of significant materials handled and stored at the site (General Permit, Section A(5)); a description of potential pollutant sources including industrial processes, material handling and storage areas, dust and particulate generating activities, a description of significant spills and leaks, a list of all non-storm water discharges and their sources, and a description of locations where soil erosion may occur (General Permit, Section A(6)).

The SWPPP also must include an assessment of potential pollutant sources at the Facility and a description of the BMPs to be implemented at the Facility that will reduce or prevent pollutants in storm water discharges and authorized non-storm water discharges, including structural BMPs where non-structural BMPs are not effective (General Permit, Section A(7), (8)). The SWPPP must be evaluated to ensure effectiveness and must be revised where necessary (General Permit, Section A(9),(10)). Receiving Water Limitation C(3) of the Order requires that dischargers submit a report to the appropriate Regional Water Board that describes the BMPs that are currently being implemented and additional BMPs that will be implemented to prevent or reduce the discharge of any pollutants causing or contributing to the exceedance of water quality standards.

CSPA's investigation and review of publicly available documents regarding conditions at the Facility indicate that Holiday Harbor has been operating with an inadequately developed or implemented SWPPP in violation of the requirements set forth above. Holiday Harbor has failed to evaluate the effectiveness of its BMPs and to revise its SWPPP as necessary. Accordingly, Holiday Harbor has been in continuous violation of Section A(1) and Provision E(2) of the General Permit every day since October 1, 1992, and will continue to be in violation every day that it fails to develop and implement an effective SWPPP. Holiday Harbor is subject to penalties for violations of the Order and the Act occurring since December 4, 2007.

**E. Holiday Harbor Has Failed to Address Discharges Contributing to Exceedances of Water Quality Standards.**

Receiving Water Limitation C(3) requires a discharger to prepare and submit a report to the Regional Board describing changes it will make to its current BMPs in order to prevent or reduce the discharge of any pollutant in its storm water discharges that is causing or contributing to an exceedance of water quality standards. Once approved by the Regional Board, the additional BMPs must be incorporated into the Facility's SWPPP. The report must be submitted to the Regional Board no later than 60-days from the date the discharger first learns that its discharge is causing or contributing to an



exceedance of an applicable water quality standard. Receiving Water Limitation C(4)(a). Section C(11)(d) of the Permit's Standard Provisions also requires dischargers to report any noncompliance. *See also* Provision E(6). Lastly, Section A(9) of the Permit requires an annual evaluation of storm water controls including the preparation of an evaluation report and implementation of any additional measures in the SWPPP to respond to the monitoring results and other inspection activities.

As indicated above, Holiday Harbor is discharging elevated levels of Total Suspended Solids, Aluminum, Iron, and Zinc and other unmonitored pollutants that are causing or contributing to exceedances of applicable water quality standards. For each of these pollutant exceedances, Holiday Harbor was required to submit a report pursuant to Receiving Water Limitation C(4)(a) within 60-days of becoming aware of levels in its storm water exceeding the EPA Benchmarks and applicable water quality standards.

Based on CSPA's review of available documents, Holiday Harbor was aware of high levels of these pollutants prior to December 4, 2007. Likewise, Holiday Harbor has generally failed to file reports describing its noncompliance with the General Permit in violation of Section C(11)(d). Lastly, the SWPPP and accompanying BMPs do not appear to have been altered as a result of the annual evaluation required by Section A(9). Holiday Harbor has been in continuous violation of Receiving Water Limitation C(4)(a) and Sections C(11)(d) and A(9) of the General Permit every day since December 4, 2007, and will continue to be in violation every day it fails to prepare and submit the requisite reports, receives approval from the Regional Board and amends its SWPPP to include approved BMPs. Holiday Harbor is subject to penalties for violations of the General Permit and the Act occurring since December 4, 2007.

**F. Holiday Harbor Has Failed to File Timely, True and Correct Reports.**

Section B(14) of the General Permit requires dischargers to submit an Annual Report by July 1st of each year to the executive officer of the relevant Regional Board. The Annual Report must be signed and certified by an appropriate corporate officer. General Permit, Sections B(14), C(9), (10). Section A(9)(d) of the General Permit requires the discharger to include in their annual report an evaluation of their storm water controls, including certifying compliance with the General Industrial Storm Water Permit. *See also* General Permit, Sections C(9) and (10) and B(14).

CSPA's investigation indicates that Holiday Harbor has submitted incomplete Annual Reports and purported to comply with the General Permit despite significant noncompliance at the Facility. For example, Holiday Harbor reported in at least four of the five Annual Report filed for the past five Wet Seasons (i.e., 2007-2008; 2008-2009; 2009-2010; 2010-2011; and 2011-2012) that it observed the first storm of every Wet Season. However, as discussed above, based on CSPA's review of publicly available rainfall data, CSPA believes this cannot possibly be true.

Further, Holiday Harbor failed to comply with the monthly visual observations of

storm water discharges requirement for every single Annual Report filed for the Facility for at least four of the last five years. In the 2010-2011 Annual Report, Holiday Harbor reported that it observed rainfall on a qualifying storm event on May 16, 2011. However, based on publicly available rainfall data, CSPA is informed and believes that this cannot possibly be true. For example, CSPA is informed and believes that May 16, 2011 cannot possibly be a qualifying storm event because one day prior, on May 15, 2011, 0.64" of rain fell on the Facility. This rain event would likely disqualify a rain event on May 16, 2011, during which 0.24" of rain fell on the Facility.

These are only a few examples of how Holiday Harbor has failed to file completely true and accurate reports. As indicated above, Holiday Harbor has failed to comply with the Permit and the Act consistently for at least the past five years; therefore, Holiday Harbor has violated Sections A(9)(d), B(14) and C(9) & (10) of the Permit every time Holiday Harbor submitted an incomplete or incorrect annual report that falsely certified compliance with the Act in the past years. Holiday Harbor's failure to submit true and complete reports constitutes continuous and ongoing violations of the Permit and the Act. Holiday Harbor is subject to penalties for violations of Section (C) of the General Permit and the Act occurring since December 4, 2007.

#### **IV. Persons Responsible for the Violations.**

CSPA puts Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley under on notice that they are the persons responsible for the violations described above. If additional persons are subsequently identified as also being responsible for the violations set forth above, CSPA puts Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley on notice that it intends to include those persons in this action.

#### **V. Name and Address of Noticing Party.**

Our name, address and telephone number is as follows: California Sportfishing Protection Alliance, Bill Jennings, Executive Director; 3536 Rainier Avenue, Stockton, CA 95204; Phone: (209) 464-5067.

#### **VI. Counsel.**

CSPA has retained legal counsel to represent it in this matter. Please direct all communications to:

Andrew L. Packard  
Erik M. Roper  
Emily J. Brand  
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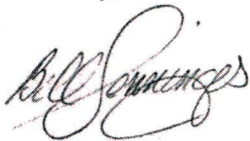
Erik@PackardLawOffices.com  
Emily@PackardLawOffices.com

## **VII. Penalties.**

Pursuant to Section 309(d) of the Act (33 U.S.C. § 1319(d)) and the Adjustment of Civil Monetary Penalties for Inflation (40 C.F.R. § 19.4) each separate violation of the Act Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley to a penalty of up to \$32,500 per day per violation for all violations occurring after March 15, 2004, and \$37,500 per day per violation for all violations occurring after January 12, 2009, during the period commencing five years prior to the date of this Notice of Violations and Intent to File Suit. In addition to civil penalties, CSPA will seek injunctive relief preventing further violations of the Act pursuant to Sections 505(a) and (d) (33 U.S.C. § 1365(a) and (d)) and such other relief as permitted by law. Lastly, Section 505(d) of the Act (33 U.S.C. § 1365(d)), permits prevailing parties to recover costs and fees, including attorneys' fees.

CSPA believes this Notice of Violations and Intent to File Suit sufficiently states grounds for filing suit. We intend to file a citizen suit under Section 505(a) of the Act against Holiday Harbor, Inc., Stephen C. Barry and Kevin Kelley and their agents for the above-referenced violations upon the expiration of the 60-day notice period. If you wish to pursue remedies in the absence of litigation, we suggest that you initiate those discussions within the next 20 days so that they may be completed before the end of the 60-day notice period. We do not intend to delay the filing of a complaint in federal court if discussions are continuing when that period ends.

Sincerely,

A handwritten signature in dark ink, appearing to read "Bill Jennings", is written over a faint, illegible printed name.

Bill Jennings, Executive Director  
California Sportfishing Protection Alliance

### SERVICE LIST

Lisa Jackson, Administrator  
U.S. Environmental Protection Agency  
1200 Pennsylvania Avenue, N.W.  
Washington, D.C. 20460

Jared Blumenfeld  
Administrator, U.S. EPA – Region 9  
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Eric Holder  
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U.S. Department of Justice  
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Dorothy R. Rice, Executive Director  
State Water Resources Control Board  
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P.O. Box 100  
Sacramento, CA 95812-0100

Pamela Creedon, Executive Officer  
Regional Water Quality Control Board  
Central Valley Region  
11020 Sun Center Drive #200  
Rancho Cordova, CA 95670-6114



**ATTACHMENT A**  
**Notice of Intent to File Suit, Holiday Harbor, Inc. (O'Brien, CA)**  
**Significant Rain Events,\* December 4, 2007 – December 4, 2012**

10/1/2007	5/24/2008	3/3/2009	1/12/2010
10/9/2007	5/27/2008	3/4/2009	1/13/2010
10/10/2007	9/9/2008	3/15/2009	1/18/2010
10/12/2007	10/3/2008	3/16/2009	1/19/2010
10/16/2007	10/4/2008	3/21/2009	1/20/2010
10/18/2007	10/30/2008	3/22/2009	1/21/2010
10/19/2007	10/31/2008	4/7/2009	1/22/2010
11/10/2007	11/1/2008	4/8/2009	1/23/2010
11/19/2007	11/2/2008	4/9/2009	1/24/2010
12/2/2007	11/3/2008	4/10/2009	1/25/2010
12/3/2007	11/4/2008	5/1/2009	1/26/2010
12/4/2007	11/5/2008	5/2/2009	1/30/2010
12/6/2007	11/6/2008	5/3/2009	2/1/2010
12/16/2007	11/7/2008	5/4/2009	2/2/2010
12/17/2007	11/8/2008	5/5/2009	2/3/2010
12/18/2007	12/14/2008	5/6/2009	2/4/2010
12/19/2007	12/15/2008	6/4/2009	2/5/2010
12/20/2007	12/18/2008	6/5/2009	2/6/2010
12/28/2007	12/19/2008	6/9/2009	2/8/2010
12/29/2007	12/21/2008	9/2/2009	2/9/2010
1/3/2008	12/24/2008	9/14/2009	2/11/2010
1/4/2008	12/27/2008	10/13/2009	2/12/2010
1/5/2008	12/28/2008	10/14/2009	2/23/2010
1/6/2008	12/29/2008	10/19/2009	2/24/2010
1/8/2008	1/2/2009	11/5/2009	2/26/2010
1/9/2008	1/22/2009	11/6/2009	3/2/2010
1/10/2008	1/23/2009	11/17/2009	3/3/2010
1/24/2008	2/5/2009	11/20/2009	3/9/2010
1/25/2008	2/6/2009	11/22/2009	3/12/2010
1/26/2008	2/10/2009	11/27/2009	3/29/2010
1/27/2008	2/11/2009	12/11/2009	4/2/2010
1/29/2008	2/12/2009	12/12/2009	4/4/2010
1/30/2008	2/13/2009	12/14/2009	4/11/2010
1/31/2008	2/14/2009	12/15/2009	4/12/2010
2/2/2008	2/15/2009	12/16/2009	4/13/2010
2/21/2008	2/16/2009	12/20/2009	4/14/2010
2/22/2008	2/17/2009	12/21/2009	4/20/2010
2/23/2008	2/18/2009	12/27/2009	4/27/2010
2/24/2008	2/22/2009	12/29/2009	5/9/2010
3/12/2008	2/23/2009	12/31/2009	5/10/2010
4/22/2008	3/1/2009	1/1/2010	5/17/2010
4/23/2008	3/2/2009	1/8/2010	5/18/2010

\* Dates gathered from publicly available rain and weather data collected at stations located near the Facility.

**ATTACHMENT A**  
**Notice of Intent to File Suit, Holiday Harbor, Inc. (O'Brien, CA)**  
**Significant Rain Events,\* December 4, 2007 – December 4, 2012**

5/25/2010	1/13/2011	10/3/2011	4/3/2012
5/26/2010	1/29/2011	10/4/2011	4/10/2012
5/27/2010	1/30/2011	10/5/2011	4/11/2012
6/2/2010	2/14/2011	10/6/2011	4/12/2012
6/3/2010	2/15/2011	10/7/2011	4/13/2012
6/4/2010	2/16/2011	10/10/2011	4/25/2012
8/28/2010	2/17/2011	11/6/2011	4/26/2012
9/19/2010	2/19/2011	11/20/2011	5/3/2012
9/23/2010	2/21/2011	11/21/2011	6/4/2012
10/23/2010	3/1/2011	11/22/2011	6/5/2012
10/24/2010	3/2/2011	11/23/2011	6/6/2012
10/28/2010	3/3/2011	11/24/2011	10/22/2012
10/29/2010	3/5/2011	1/20/2012	10/23/2012
10/30/2010	3/6/2011	1/21/2012	10/24/2012
10/31/2010	3/10/2011	1/22/2012	11/1/2012
11/7/2010	3/13/2011	1/23/2012	11/16/2012
11/9/2010	3/15/2011	1/25/2012	11/17/2012
11/20/2010	3/17/2011	1/26/2012	11/18/2012
11/21/2010	3/18/2011	1/31/2012	11/19/2012
11/22/2010	3/19/2011	2/1/2012	11/20/2012
11/27/2010	3/20/2011	2/7/2012	11/21/2012
12/2/2010	3/22/2011	2/8/2012	11/28/2012
12/4/2010	3/23/2011	2/11/2012	11/29/2012
12/5/2010	3/24/2011	2/12/2012	11/30/2012
12/6/2010	3/25/2011	2/28/2012	
12/8/2010	3/26/2011	2/29/2012	
12/9/2010	3/27/2011	3/1/2012	
12/10/2010	4/13/2011	3/13/2012	
12/13/2010	4/17/2011	3/14/2012	
12/14/2010	4/20/2011	3/15/2012	
12/17/2010	4/23/2011	3/16/2012	
12/18/2010	4/24/2011	3/21/2012	
12/19/2010	4/25/2011	3/22/2012	
12/20/2010	5/15/2011	3/24/2012	
12/21/2010	5/16/2011	3/25/2012	
12/22/2010	5/17/2011	3/26/2012	
12/25/2010	5/25/2011	3/27/2012	
12/26/2010	5/31/2011	3/28/2012	
12/28/2010	6/28/2011	3/29/2012	
1/1/2011	6/29/2011	3/30/2012	
1/11/2011	8/25/2011	3/31/2012	
1/12/2011	9/12/2011	4/1/2012	

\* Dates gathered from publicly available rain and weather data collected at stations located near the Facility.